Dkt. 05014

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Group Art Unit: 3762

ANDREAS MAYER-GINDNER et al

Serial No.: 10/524,774

Filed: February 16, 2005

For: TRANSMISSION DEVICE FOR INTRODUCING LIGHT INTO AN EAR

### REQUEST FOR CORRECTED FILING RECEIPT

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

Applicants hereby request that a corrected Filing Receipt be issued for the above-identified application. copy of the Official Filing Receipt is enclosed.

Please correct the address of inventor Winfred Granget as follows:

- 1. From "Lapringan, GERMANY" to -Ispringen, GERMANY-;
- 2. Add the third inventor' information as follow;

--Marius-Kurt Mihai, Niefern-Oschelbronn, GERMANY-, as shown on the declaration filed May 26, 2006, copy enclosed/

Respectfully submitted,

J. Schultz Registration No. 28666

DENNISON, SCHULTZ & MACDONALD LAW OFFICES





BUNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

**FILING OR 371** ATTY.DOCKET NO **DRAWINGS** TOT CLMS IND CLMS FIL FEE REC'D **ART UNIT** APPL NO. (c) DATE 11 1 05014 10/524,774 05/26/2006 3762 1030

**CONFIRMATION NO. 3436** 

DENNISON, SCHULTZ & MACDONALD

1727 KING STREET SUITE 105 ALEXANDRIA, VA 22314

23338

FILING RECEIPT

\*OC000000020184612\*

Date Mailed: 08/28/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Andreas Mayer-Gindner, Stutensee, GERMANY; Winfred Granget, lapringan, GERMANY; ISARINGEN

Power of Attorney: The patent practitioners associated with Customer Number 23338.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/DE03/02732 08/14/2003

Foreign Applications

GERMANY 102 37 620.4 08/16/2002

If Required, Foreign Filing License Granted: 08/24/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/524,774** 

Projected Publication Date: 11/30/2006

Non-Publication Request: No

Early Publication Request: No

Title

Transmission device for introducing light into an ear

## **Preliminary Class**

607

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filling of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR

Tran

1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## BEST AVAILABLE COPY

7 SEF . 5		•	• •		
DECLARATION FOR				TO A COURSE TOP	ADDITICATION
DECLARATION FOR	UTILITY (	OR .	DESIGN	PATENT	APPLICATION
FEADEN			•	Dooker No	05014
				DOCKEL 110.	<u> </u>

As a below named	inventor,	I peteph	declare	that:
------------------	-----------	----------	---------	-------

				L-1	
Mar maridance	post office address	and citizenship	are as stated	perow next m	my name.
WIA TESTIFE"	DANK ATTION WOME AND				•

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled TRANSMISSION DEVICE FOR INTRODUCING LIGHT INTO AN EAR

specification of which (check

	XX is described and claims	A in PCT Internation	al Application PCT/DE200	3/002732 filed on	
one) _	D/YYYY) 08/14/2003	ALIDICI MANAGA	(if applicable)		•
MM	08/14/2003	Chara Anniintian N	Tumber	filed	
(OR) _	is described in United	States Application i	(OR) is att	sched hereto.	
on(MN	(/DD/YYYY)		(OR)IS att	macification including the cla	ims. as
hereb	y state that I have reviewed an	d singerstand the cou	tents or the above identified a	pecification, including the cla	,
amend	ed by any amendment referred	to above.			
l ackno	owledge the duty to disclose in	formation which is m	sterial to patentability as def	med in 37 CPR 31.36.	
cenific		mational application	which designated at least one	pplication(s) for patent or inv country other than the United atent or inventor's certificate, ich priority is claimed.	
. [	Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Claimed'? Yes No	
	102 37 620.4	Germany	08/16/2002	xx	
	102 37 020.4	0022			
			<u> </u>		
-	y claim the benefit under 35. L				onional
I herel applica this apparage 37 CF filing o	by claim the benefit under 35 to all on designating the Unites State of the Unites State of the Unites State of the Indian the state of the Unites State of the United State of this application:	J.S.C. §120 of any less of America, listed prior United States of States of the duty to de between the filing	United States application(s), i below and, lasofar as the street of PCT International application is date of the prior application:	or 365(c) of any PCT Interrabject matter of each of the class in the manner provided by to material to patentability as defaud the national or PCT interrace.	ims of he first ined in the time in the ti
1 Her Busi	EBY APPOINT THE ATTOR	NEYS ASSOCIATI	ED WITH CUSTOMER NU CATION. CONDUCT C	MBER 23338 TO TRANSAC ORRESPONDENCE WITH	TALL THE

DENNISON, SCHULTZ, DOUGHERTY & MACDONALD

Suite 105, 1727 King Street Alexandria, VA 22314-2700

FOLLOWING ATTORNEY:.

Ira J. Schultz (703)837-9600, Ext. 23 Pacsimile: (703) 837-0980

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Andreas MAYER-GINDNER	
Full name of sole or first inventor Andreas MAYER-GINDNER  (First, Middle, Family Name or Sumarno)	Date 29.03.2005
Inventor's signature Affairs - Small	
Paridance Sturensee, Germany	Citizenship German
(City, State, Country) Full Post Office Address Jacobine 1, 76297 Stutensee. Germany	
Full Post Office Address Address Allmendstr. 20	



# **BEST AVAILABLE COPY**

Full name of second inventorWinfried GRANGET	
	Date 13 3.06
Inventor's signature	
Residence Ispringen, Garmany	Citizenship German
(City State, Country)	
Full Post Office Address Altenweg 32, 75228 Ispringen, Germany	
Full name of third inventor Marina-Kurt MIHAI	•
Full name of third inventor Maxius—Kurt MTHAI  (Birst, Middle, Family Name or Surname)  Inventor's signature	D
Inventor's signature	Date
A - halbrown Cormany	CitizenshipGerman
Residence Nieferto Oschelbronn, Germany (City, State, Country)	·
Full Post Office Address Wielandstr 28. 75233 Niefern-Oschelbronn.	Germany
Full Fost Office Address Westernsons as a second of the se	
	•
Full name of fourth Inventor	
(First, Middle, Family Name or Surname)	Date
(nventor's signature	
	Citizenship
Residence(City, State, Country)	
Full Post Office Address	

Page Two